06-04-02

Express Wail Label No. EL953455462US Application Serial Number 10/005,212 Filing Date December 4, 2001 First Named Inventor Gillies Group Art Unit 1646 **Examiner Name** Not Yet Assigned Attorney Docket No. LEX-002C1 Patent No. Not applicable Issue Date Not applicable ENCLOSURES (check all that apply) Fee Transmittal Form \boxtimes Copy of Notice to File Missing Notice of Appeal to Board Parts of Application (PTO-1553) of Patent Appeals and Interferences Checks Attached (2) Copy of Fee Formal Drawing(s) Appeal Brief (in triplicate) Transmittal Form \boxtimes Amendment/Response Request For Continued Status Inquiry Examination (RCE) Preliminary (2 pgs) Transmittal After Final \boxtimes Return Receipt Postcard Affidavits/declaration(s) Power of Attorney Letter to Official (Revocation of Prior Powers) Certificate of First Class Mailing Draftsperson under 37 C.F.R. 1.8 including Drawings [Total Sheets ____] Terminal Disclaimer Certificate of Facsimile Transmission under 37 C.F.R. 1.8 \boxtimes Petition for Extension of **Executed Declaration and Power** \boxtimes Additional Enclosure(s) Time of Attorney for Utility or Design (please identify below) Patent Application Response to Notice to File Missing Parts (2 pgs.) П Information Disclosure Small Entity Statement Statement Form PTO-1449 Copies of IDS CD(s) for large table or computer Citations program Certified Copy of Priority Amendment After Allowance Document(s) Request for Certificate of Sequence Listing submission Correction Paper Copy/CD ☐ Certificate of Correction (in ☐ Computer Readable Copy duplicate) Statement verifying identity of above CORRESPONDENCE ADDRESS SIGNATURE BLOCK Respectfully submitted, Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower Date: May 31, 2002 125 High Street Reg. No. 41,418 Patrick R. H. Waller, Ph.D. Boston, MA 02110 Tel. No.: (617) 248-7240 Attorney for Applicant(s) Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP Fax No.: (617) 248-7100 High Street Tower 125 High Street Boston, MA 02110



Express Mail Label No. EL953455462US

PATENT Attorney Docket No. LEX-002C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Gillies et al.

SERIAL NO.:

10/005,212

GROUP NO.:

1646

FILING DATE:

December 4, 2001

EXAMINER:

Not Yet Assigned

TITLE:

HETERODIMERIC FUSION PROTEINS USEFUL FOR TARGETED

IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to a "Notice to File Missing Parts of Application" dated January 31, 2002, Applicants submit the following:

- 1. a check in the amount of \$980.00 and a check in the amount of \$290.00;
- 2. a copy of the "Notice to File Missing Parts of Application" (1 pg);
- 3. a Transmittal Form (1 pg);
- 4. a Fee Transmittal Form (1 pg);
- 5. a Preliminary Amendment (2 pgs);
- 6. a petition for a two month extension of time (1 pg); and
- 7. a return receipt postcard.

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6 FEE TRANSMITTAL

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	Complete if Known				
	Application Serial Number	10/005,212			
	Filing Date	December 4, 2001			
	First Named Inventor	Gillies			
	Group Art Unit	1646			
	Examiner Name	Not Yet Assigned			
	Attorney Docket No.	LEX-002C1			

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. Payment Enclosed:	3. ADDITIONAL FEES			
Checks (2) Money Order Other	Large	Small		
	Entity	Entity		
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	Fee Paid
or charge any fee indicated below for this submission	(\$)	(\$)		
to Deposit Account No. 20-0531.	100			
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath	130.00
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee	
1.17.	120	120	or cover sheet	
Overpayment Credit.	130	130	Non-English specification	
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination	
FEE CALCULATION	110	55	Extension for reply within first month	
1. FILING FEE	400	200	Extension for reply within second month	400.00
Large Entity	920	460	Extension for reply within third month	<u> </u>
Fee (\$) Fee Description Fee Paid	1440	720	Extension for reply within fourth	
1001111		,	month	
	1960	980	Extension for reply within fifth month	
740 Utility filing fee 740.00	. 320	160	Notice of Appeal	
330 Design filing fee	320	160	Filing a brief in support of an appeal	
160 Provisional filing fee	280	140	Request for oral hearing	
	130	130	Petitions to the Commissioner	
Number Number Rate Amount	180	180	Submission of Information Disclosure Statement	
Filed Extra	740	370	Filing a submission after final	
<u> </u>	,	5.0	rejection (37 CFR 1.129(a))	
Total Claims $-20 = x $ 18.00 =$	740	370	For each additional invention to be	
			examined (37 CFR 1.129(b))	
Independent	100	100	Certificate of Correction for	
Claims $-3 = x \$ 84.00 =$	0.1 6 (0	10.	applicant's error	
☐ Multiple Dependent Claim(s), if any \$280.00 =	Other fee (Specify) Other fee (Specify)			
Within the Dependent Claim(s), if any \$280.00 =	Outer fee (Sp	echyj		L
TOTAL: 740.00				
SMALL ENTITY DISCOUNT:				
SUBTOTAL (1) (\$) 740.00				
2. AMENDMENT CLAIM FEES				
Claims Highest No. Present Rate Fee Paid	SUBTOTAL (3) (\$) 530.00			
Remaining Previously Extra				
After Amend. Paid For				
Total - = $x \$ 18.00 =$	SUBTOTAL (1) 740.00			
Indep = $x \$ 84.00 =$	SUBTOTAL (2)			
First Presentation of Multiple Dep. + \$280.00 =	SUBTOTAL (3) 530.00			
Claim				
TOTAL: (\$) SMALL ENTITY DISCOUNT: (\$)				
SUBTOTAL (2) (\$)	TOTAL (\$) 1270.00			
SOBIOTAL (2)	TOTAL (\$) 1270.00			
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to:			Respectfully submitted,	•
Patent Administrator	Date: May 31, 2002			
resta, ritiwitz & Tilibeauti, EEP	Reg. No.: 41,418 Patrick R. H. Waller, Ph.D.			
riigii Street Towel-125 High Street	Tel. No.: (617) 248-7240 Attorney for the Applicants			
Bostoli, MA 02110 .	Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP			
Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	High Street Tower-125 High Street			
1 ax 110 (017) 270-7100			Boston, MA 02110	





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tes Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

021323

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,212

12/04/2001

Stephen D. Gillies

LEX-002C1 (4006/13)

CONFIRMATION NO. 6802 FORMALITIES LETTER

OC000000007400024*

TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER

125 HIGH STREET BOSTON, MA 02110

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37
- Total additional claim fee(s) for this application is \$1612.
 - \$576 for 32 total claims over 20.
 - \$756 for 9 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2482.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

36/06/2002 JBALINAN 00000095 10005212

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